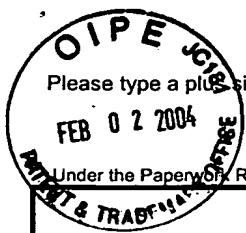


8 U
02-10-04
2665



Please type a plus sign (+) inside this box → +

PTO/SB/21 (08-00)
Approval for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

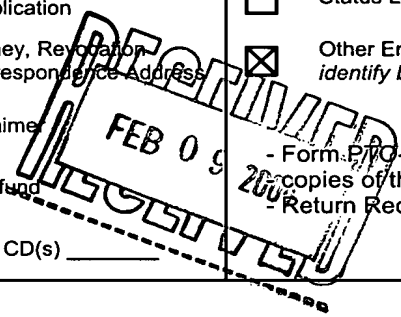
| | | | |
|---|----------------------|------------------------|-------|
| <h2 style="margin: 0;">TRANSMITTAL FORM</h2> <p style="margin: 5px 0;">(to be used for all correspondence after initial filing)</p> | Application Number | 09/864,093 | |
| | Filing Date | May 21, 2001 | |
| | First Named Inventor | Clark, Gerald R. | |
| | Group Art Unit | 2665 | |
| | Examiner Name | Vu, Huy Duy | |
| Total Number of Pages in This Submission | 4 | Attorney Docket Number | 70651 |

RECEIVED

FEB 06 2004

Technology Center 2600

| ENCLOSURES (check all that apply) | | |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - Form PTO-1449 - copies of three (3) references - Return Receipt Postcard |
| <div style="border: 1px solid black; width: 100px; height: 20px; float: left; margin-right: 10px;">Remarks</div> <div style="clear: both;"></div> | | <div style="border: 1px solid black; width: 100%; height: 40px; position: relative;"> <div style="position: absolute; top: 5px; right: 5px; font-weight: bold; font-size: 0.8em;">- Supplemental Information Disclosure Statement</div> </div> |



| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|---|
| Firm or Individual name | Richard E. Wawrzyniak, Reg. No. 36,048 FITCH, EVEN, TABIN & FLANNERY |
| Signature | |
| Date | 1/29/04 |

| CERTIFICATE OF MAILING | | | |
|--|-----------------|------|------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: | | | |
| | | | January 29, 2004 |
| Typed or printed name | Pamela M. Stone | | |
| Signature | | Date | January 29, 2004 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Attorney Docket No. 7063

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Clark et al.

Application No.: 09/864,093

Filed: May 21, 2001

For: FREE-SPACE OPTICAL
COMMUNICATION SYSTEM
EMPLOYING WAVELENGTH
CONVERSION

Group Art Unit: 2665

Examiner: Vu, Huy Duy

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, U. S. Patent & Trademark Office, Washington, DC 20231 on this date.

January 29, 2004

Date

Pamela M. Stone

Pamela M. Stone

SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This Information Disclosure Statement is submitted:

X Under 37 CFR §1.97(b) before the mailing date of a first Office Action on the merits.

 Under 37 CFR §1.97(c) together with either:
 a statement under 37 CFR §1.97(e), or
 a fee under 37 CFR §1.17(p)
(after the 37 CFR §1.97(b) time period, but before the mailing date of a final Action, a Notice of Allowance, or an action that otherwise closes prosecution).

IDS

U.S. App. Serial No. 09/864,093

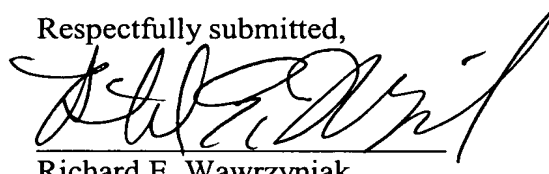
Page 2

In accordance with the duty of disclosure imposed by 37 CFR § 1.56 to inform the Patent Office of all patents, publications, applications and other information known by Applicants or Applicants' representative that may be material to the examination of the subject application, Applicants' representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 CFR §§ 1.97-1.98 and includes Form PTO-1449 with three (3) references listed as items AA-AC.

It is requested that the information provided herein be made of record in this application.

Dated: 1/29/04

Respectfully submitted,



Richard E. Wawrzyniak
Attorney for Applicant(s)
Reg. No. 36,048

Address all Correspondence to:

FITCH, EVEN, TABIN & FLANNERY
120 So. LaSalle Street
Suite 1600
Chicago, IL 60603
(858) 552-1311